

Werk

Titel: Mathematische Annalen

Verlag: Springer

Jahr: 1989

Kollektion: Mathematica

Werk Id: PPN235181684_0283

PURL: http://resolver.sub.uni-goettingen.de/purl?PID=PPN235181684_0283 | LOG_0012

Terms and Conditions

The Goettingen State and University Library provides access to digitized documents strictly for noncommercial educational, research and private purposes and makes no warranty with regard to their use for other purposes. Some of our collections are protected by copyright. Publication and/or broadcast in any form (including electronic) requires prior written permission from the Goettingen State- and University Library.

Each copy of any part of this document must contain these Terms and Conditions. With the usage of the library's online system to access or download a digitized document you accept the Terms and Conditions.

Reproductions of material on the web site may not be made for or donated to other repositories, nor may be further reproduced without written permission from the Goettingen State- and University Library.

For reproduction requests and permissions, please contact us. If citing materials, please give proper attribution of the source.

Contact

Niedersächsische Staats- und Universitätsbibliothek Göttingen
Georg-August-Universität Göttingen
Platz der Göttinger Sieben 1
37073 Göttingen
Germany
Email: gdz@sub.uni-goettingen.de

List of Symbols

<p>I.</p> <p>Ω_X^m</p> <p>\mathcal{C}_X</p> <p>$\mathcal{F}_{X, \mathbb{R}}$</p> <p>$\mathcal{F}(U, \mathbb{R})$</p> <p>1.1.</p> <p>$\mathcal{C}_X^\infty$</p> <p>$A_X^m$</p> <p>$A_X^{k,l}$</p> <p>$PH_X$</p> <p>1.2.</p> <p>$[\varphi]$</p> <p>$[\mathcal{C}_X^\infty]$</p> <p>2.1.</p> <p>$P_X^0$</p> <p>$SP_X^0$</p> <p>$P_X^\infty$</p> <p>$SP_X^\infty$</p> <p>$[P_X^\infty]$</p> <p>$[SP_X^\infty]$</p> <p>2.4.</p> <p>$SP^{0, \infty}(U, V)$</p> <p>2.6.</p> <p>$SP_\pi^\infty$</p> <p>3.1.</p> <p>$\text{Sym}^k(X)$</p> <p>$\sum \{x_j\}$</p> <p>3.2.</p> <p>$\mathbf{B}_m(X)$</p> <p>3.3.</p> <p>$\mathbf{D}_m(X)$</p> <p>$c(Y)$</p> <p>3.4.</p> <p>$F_\varphi(c)$</p> <p>$(c \cdot \xi)$</p> <p>3.5.</p> <p>$\pi_* \varphi$</p> <p>$\mathbf{B}_m(X)^{(0)}$</p>	<p>II.</p> <p>1.1.</p> <p>\mathcal{H}_X^{-1}</p> <p>$\mathcal{H}_{X, \mathbb{R}}^{-1}$</p> <p>$\partial \bar{\partial} \kappa$</p> <p>1.2.</p> <p>$\hat{c}_1$</p> <p>$c_1$</p> <p>$\tilde{c}_1$</p> <p>3.1.</p> <p>$\text{T}\Gamma_{X/X'}^{(c)}$</p> <p>$\text{T}\Gamma_{X/X'}^{(h)}$</p> <p>4.1.</p> <p>$\mathcal{W}_X$</p> <p>$WPH_X$</p> <p>$\tilde{X}$</p> <hr/> <p>III.</p> <p>1.1.</p> <p>\underline{X}</p> <p>$F: \underline{X} \rightarrow \underline{Y}$</p> <p>$\underline{U} \ll \underline{X}$</p> <p>$\underline{U}_1 \cap \underline{U}_2$</p> <p>$\varepsilon$</p> <p>$\delta$</p> <p>$\varphi _{\underline{U}}$</p> <p>$T$</p> <p>1.2.</p> <p>$\varphi \cdot \psi$</p> <p>2.1.</p> <p>$\check{C}^q(\underline{X}; \mathcal{F}, \mathcal{L}')$</p> <p>$\Delta$</p> <p>$\check{Z}^q(\underline{X}; \mathcal{F}, \mathcal{L}')$</p> <p>$\check{H}^q(\underline{X}; \mathcal{F}, \mathcal{L}')$</p>	<p>3.1.</p> <p>\mathcal{L}_m^r</p> <p>D</p> <p>3.3.</p> <p>φ^*</p> <p>$\mathcal{L}_{m, \mathbb{R}}^r$</p> <p>3.4.</p> <p>$\mu$</p> <p>3.5.</p> <p>$\mathcal{G}_m^q$</p> <p>$\hat{d}$</p> <p>$\beta$</p> <p>$\gamma$</p> <p>3.6.</p> <p>$\mathcal{E}_m^q(\underline{X})$</p> <p>$\mathcal{E}_m^q(\underline{X}, [\mathbb{R}])$</p> <p>$\mathcal{E}_m^q(\underline{X}, \mathbb{R})$</p> <p>4.2.</p> <p>$\Phi_1(f, \varphi)$</p> <p>4.3.</p> <p>$\mathcal{H}^m(\underline{X}), \mathcal{H}(\underline{X})$</p> <p>$\mathcal{H}^m(\underline{X}, [\mathbb{R}]), \mathcal{H}(\underline{X}, [\mathbb{R}])$</p> <p>$\mathcal{H}^m(\underline{X}, \mathbb{R}), \mathcal{H}(\underline{X}, \mathbb{R})$</p> <p>4.4.</p> <p>$\Phi \times \Psi$</p> <p>5.4.</p> <p>$\mathcal{D}_m^q(\underline{X})$</p> <p>$\hat{\Delta}$</p> <hr/> <p>IV.</p> <p>3.1.</p> <p>\mathcal{C}</p> <p>\mathcal{C}^*</p>
---	---	---

I. Preliminaries

X will always denote a complex space, not necessarily reduced unless explicitly stated. $X_{\text{red}} \rightarrow X$ denotes the reduction of X . $\mathcal{O}_X = \Omega_X^0$ is the structure sheaf of X and Ω_X^m the sheaf of holomorphic m -forms on X . \mathcal{C}_X is the sheaf of continuous functions on the topological space underlying to X . If $\mathcal{F} = \mathcal{F}_X$ is any sheaf on X , $\mathcal{F}(U)$ will denote $\Gamma(U, \mathcal{F}_X)$. If \mathcal{F}_X is a sheaf of \mathbb{C} -vector spaces with a natural \mathbb{C} -antilinear involution, $\mathcal{F}_{X, \mathbb{R}}$ will denote the subsheaf of elements left fixed by the involution and $\mathcal{F}(U, \mathbb{R}) := \Gamma(U, \mathcal{F}_{X, \mathbb{R}})$. We always assume X countable at infinity.

1. \mathcal{C}^∞ Forms and Functions on Complex Spaces

There are two inequivalent definitions of \mathcal{C}_X^∞ in the literature. The first, which we call the “old” one [5, 10, 21] defines \mathcal{C}_X^∞ as the subsheaf of \mathcal{C}_X consisting of local

restrictions of \mathcal{C}^∞ functions under smooth embeddings. So $\mathcal{C}_X^\infty = \mathcal{C}_{X_{\text{red}}}^\infty$ in this sense. The second which we will call thee “modern” one [8, 12] is the one we give below.

1.1. Definitions. We define on X the sheaves \mathcal{C}_X^ω of real-analytic functions, PH_X of pluriharmonic functions, $\mathcal{C}_X^\infty = A_X^0$ of \mathcal{C}^∞ functions, A_X^m (resp. $A_X^{k,l}$) of \mathcal{C}^∞ m -forms [resp. (k, l) -forms] as follows: For smooth X , they are well defined. Now suppose $X \rightarrow D$ is an embedding of X into a domain D of \mathbb{C}^n and $\mathcal{I}_X \subset \mathcal{O}_D$ is the corresponding coherent ideal sheaf. Set

$$\mathcal{I}_X^\omega := (\mathcal{I}_X + \bar{\mathcal{I}}_X)\mathcal{C}_D^\omega, \quad \mathcal{I}_X^\infty := (\mathcal{I}_X + \bar{\mathcal{I}}_X)\mathcal{C}_D^\infty$$

and

$$\begin{aligned} \mathcal{C}_X^\omega &:= \mathcal{C}_D^\omega / \mathcal{I}_X^\omega, & \mathcal{C}_X^\infty &:= \mathcal{C}_D^\infty / \mathcal{I}_X^\infty, & A_X^m &:= A_D^m / (\mathcal{I}_X^\omega A_D^m + d\mathcal{I}_X^\infty A_D^{m-1}) \\ A_X^{k,l} &:= \text{the image of } A_D^{k,l} \text{ under the canonical morphism } & A_D^{k,l} &\rightarrow A_X^{k,l}. \end{aligned}$$

It is clear that these sheaves are independent of the choice of the embedding $X \rightarrow D$ so they extend to arbitrary X . There are canonical morphisms

$$\mathcal{O}_X \rightarrow \mathcal{C}_X^\omega \rightarrow \mathcal{C}_X^\infty \rightarrow \mathcal{C}_X.$$

1.2. Elementary Properties and Conventions. (i) The canonical morphisms $\mathcal{O}_X \rightarrow \mathcal{C}_X^\omega$ and $\mathcal{C}_X^\omega \rightarrow \mathcal{C}_X^\infty$ are injective. (The first is elementary and the second is a consequence of the fact that \mathcal{C}_D^ω is a faithfully flat \mathcal{C}_D^ω -module by Malgrange [19, Chap. VI, Corollary 1.12].) They will be considered as inclusions

$$\mathcal{O}_X \subset \mathcal{C}_X^\omega \subset \mathcal{C}_X^\infty$$

and so we may define $PH_X := \mathcal{O}_X + \bar{\mathcal{O}}_X \subset \mathcal{C}_X^\omega$.

(ii) In \mathcal{C}_X^ω we have $\mathcal{O}_X \cap \bar{\mathcal{O}}_X = \mathbb{C}$ and there is a commutative diagram with exact rows

$$\begin{array}{ccccccc} 0 & \longrightarrow & \mathbb{R} & \longrightarrow & \mathcal{O}_X & \xrightarrow{-2\text{Im}} & PH_{X,\mathbb{R}} \longrightarrow 0 \\ & & \downarrow & & \lambda \downarrow & & \downarrow \\ 0 & \longrightarrow & \mathbb{C} & \longrightarrow & \mathcal{O}_X \oplus \bar{\mathcal{O}}_X & \xrightarrow{(i \ -i)} & PH_X \longrightarrow 0, \end{array}$$

where $\lambda(f) = (f, \bar{f})$ and the unspecified morphisms are the canonical inclusions.

(iii) The canonical morphism $\varrho: \mathcal{C}_X^\infty \rightarrow \mathcal{C}_X$ is not injective in general even for X reduced; for $fg = 0$ in \mathcal{O}_X does not imply $f\bar{g} = 0$ in \mathcal{C}_X^ω . However, for X reduced and locally irreducible, ϱ is injective. (It is elementary that the restriction of ϱ to \mathcal{C}_X^ω is injective; we deduce that ϱ is injective by Malgrange [19, Chap. VI, Theorem 3.10].)

We write $[\varphi] := \varrho(\varphi)$, $[\mathcal{C}_X^\infty] := \varrho(\mathcal{C}_X^\infty)$. So $[\mathcal{C}_X^\infty]$ is the \mathcal{C}^∞ sheaf of the “old” theory. For normal X the two theories coincide, by the above remark.

(iv) The kernel of the canonical morphism $PH_X \rightarrow \mathcal{C}_X$ is $\mathcal{N}_X + \bar{\mathcal{N}}_X$ where \mathcal{N}_X is the sheaf of nilpotent sections of \mathcal{O}_X . In particular, for reduced X , PH_X may be considered as a subsheaf of \mathcal{C}_X .

(v) If $f: X \rightarrow Y$ is a morphism of complex spaces, $\varphi \in \mathcal{C}(Y)$ and $\psi \in \mathcal{C}^\infty(Y)$, write $\varphi \circ f \in \mathcal{C}(X)$ and $f^*\psi \in \mathcal{C}^\infty(X)$ for the corresponding induced elements. Write $\psi \circ f$ instead of $[\psi] \circ f$, so that $[f^*\psi] = \psi \circ f$ in $\mathcal{C}(X)$.

(vi) The canonical morphisms $\Omega_X^m \rightarrow A_X^{m,0}$ are injective and will be considered as inclusions.

(vii) The inclusions $A_X^{k,l} \subset A_X^{k+l}$ give a direct sum decomposition $A_X^m = \bigoplus_{k+l=m} A_X^{k,l}$ and A_X^\cdot is a bigraded algebra with respect to the wedge product.

The natural involution $\varphi \mapsto \bar{\varphi}$ applies $A_X^{k,l}$ on to $A_X^{l,k}$.

(viii) There is a canonical morphism $d = \partial + \bar{\partial}: A_X^m \rightarrow A_X^{m+1}$ satisfying the usual identities $d^2 = \partial^2 = \bar{\partial}^2 = \partial\bar{\partial} + \bar{\partial}\partial = 0$. However, none of the resulting complexes (Dolbeault, De Rham, etc. ...) is an exact sequence of sheaves in general.

(ix) Any morphism $f: X \rightarrow Y$ of complex spaces gives rise to a linear $f^*: A^m(Y) \rightarrow A^m(X)$ which is compatible with the wedge product, bigraduation and the operators $d, \partial, \bar{\partial}$. We have $(fg)^* = g^*f^*$.

2. Strongly Plurisubharmonic Functions

We write p.s.h. for plurisubharmonic.

2.1. Definitions. We define on X the sheaves of real convex cones P_X^0 (resp. SP_X^0) of continuous p.s.h. (resp. strongly p.s.h.) functions, P_X^∞ (resp. SP_X^∞) of \mathcal{C}^∞ p.s.h. (resp. strongly p.s.h.) functions as the subsheaves of $\mathcal{C}_{X,\mathbb{R}}$ (resp. $\mathcal{C}_{X,\mathbb{R}}^\infty$) consisting of elements induced by corresponding functions on open sets of \mathbb{C}^n under local embeddings. Also define $[P_X^\infty] := \varrho(P_X^\infty)$, $[SP_X^\infty] := \varrho(SP_X^\infty)$ where $\varrho: \mathcal{C}_X^\infty \rightarrow \mathcal{C}_X$ is the canonical morphism.

2.2. Examples. (i) On the subspace X of \mathbb{C}^2 defined by $z_1 z_2 = z_2^2 = 0$, set $\varphi_t(z_1, z_2) := z_1 \bar{z}_1 + t z_2 \bar{z}_2$ for real t . Then $[\varphi_t] \in SP^0(X)$ is independent of t , $\varphi_t \in \mathcal{C}^\infty(X, \mathbb{R})$ for all t but $\varphi_t \in P^\infty(X)$ only for $t \geq 0$ and $\varphi_t \in SP^\infty(X)$ only for $t > 0$.

(ii) On the subspace X of \mathbb{C}^2 defined by $z_1 z_2 = 0$, set (for real t) $\varphi_t(z_1, z_2) := z_1 \bar{z}_1 + t(z_1 \bar{z}_2 + z_2 \bar{z}_1) + z_2 \bar{z}_2$. Then $[\varphi_t] \in SP^0(X)$ is independent of t , $\varphi_t \in \mathcal{C}^\infty(X, \mathbb{R})$ but $\varphi_t \in P^\infty(X)$ only for $|t| \leq 1$ and $\varphi_t \in SP^\infty(X)$ only for $|t| < 1$.

(iii) On \mathbb{C}^n set $\varphi(z_1, \dots, z_n) := \sum_{j=1}^n |t_j|^2$ where t_1, \dots, t_n are the roots of $X^n - z_1 X^{n-1} + \dots + (-1)^n z_n$. Then $\varphi \in SP^0(\mathbb{C}^n)$.

2.3. The Cone $SP^{0,\infty}(U, V)$. This is an auxiliary notion introduced to give a meaning to smoothing lemmas of strongly p.s.h. functions. For U, V open in X , $SP^{0,\infty}(U, V)$ is defined as the set of pairs $\varphi = (\varphi^0, \varphi^\infty) \in SP^0(U) \times SP^\infty(U \cap V)$ such that $[\varphi^\infty] = \varphi^0|_{U \cap V}$. We set $[\varphi] := \varphi^0$. The following are obvious

(i) $SP^{0,\infty}(U, V) = SP^{0,\infty}(U, U \cap V)$.

(ii) $SP^{0,\infty}(U, \emptyset) \cong SP^0(U)$ canonically.

(iii) $SP^{0,\infty}(U, X) \cong SP^\infty(U)$ canonically.

(iv) For fixed V , $U \mapsto SP^{0,\infty}(U, V)$ is a sheaf on X .

(v) For $\varphi = (\varphi^0, \varphi^\infty) \in SP^{0,\infty}(U, V)$ and $h \in PH(U, \mathbb{R})$, the element $\varphi + h := (\varphi^0 + [h], \varphi^\infty + h|_{U \cap V})$ is in $SP^{0,\infty}(U, V)$.

The following is a slight improvement of a result of Richberg [21, Satz 4.1]. For $X = \mathbb{C}^n$, a complete proof is in [23].

2.4. Richberg's Lemma. *Let U, V, W be open in X with $U \subset\subset W$. Let $\varphi \in SP^{0,\infty}(W, V)$. Then there is a compact S such that $U \subset S \subset W$ and an ele-*