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On the Gauss Map of Surfaces in R^n

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Introduction

In [1], Hoffman and Osserman gave necessary and sufficient conditions **A** (2.20, 2.21) for a map Φ from a Riemann surface S_0 to $C^n \setminus \{0\}$ satisfying $\Phi \cdot \Phi = 0$ to represent the Gauss map $\tilde{G}: S_0 \rightarrow Q_{n-2} \subset CP^{n-1}$ of a conformal immersion $X: S_0 \rightarrow R^n$.

In this paper we introduce necessary and sufficient conditions **B** [(6, 7) of Theorem 1], which are equivalent to **A** but are more explicit. Moreover, in the proof of Theorem 1, formula (13) shows that if H is the mean curvature vector of $X(S_0) \subset R^n$, $(\log|H|)_z$ can be expressed by a differential expression of order 2 in Φ , which generalizes a result of Kenmotsu [3, Theorem 3].

Moreover, Theorem 1 yields somewhat shorter proofs of the results of Hoffman-Osserman for the cases $n=3, 4$ [1, Sect. 3; 2].

In Theorem 2, we give a constructive proof which allows us to explicitly represent $X(S_0)$ by his Gauss map Φ , and to generalize in all dimensions the representation theorem of Kenmotsu [3, Theorem 4].

The Results

Let S_0 be a Riemann surface and the map

$$X: S_0 \rightarrow R^n \tag{1}$$

be a locally conformal immersion. If $z = \xi + i\eta$ is a local parameter on S_0 , and (x_1, \dots, x_n) are coordinates in R^n , then the map defining surface S is given locally in the form

$$X(z), \quad X = (x_1, \dots, x_n). \tag{2}$$

The Gauss map $G: S_0 \rightarrow Q_{n-2}$ is defined by

$$G(z) = \left[\frac{\partial X}{\partial \bar{z}} \right], \tag{3}$$

where $\mathbf{Q}_{n-2} = \{\mathbf{Z} \in \mathbf{CP}^{n-1} | \mathbf{Z}^2 = 0\}$ is the complex quadric in \mathbf{CP}^{n-1} . We may represent \mathbf{G} locally in the form $[\Phi]$ such that

$$\tilde{\mathbf{G}}(z) = \left[\frac{\partial \mathbf{X}}{\partial z} \right] = [\Phi(z)], \tag{4}$$

where $\Phi(z) = (\varphi_1, \dots, \varphi_n) \in \mathbf{C}^n \setminus \{0\}$ satisfies

$$\Phi \cdot \Phi = \sum_{k=1}^n \varphi_k^2 = 0. \tag{5}$$

Theorem 1. *Let \mathbf{S} be an oriented surface in \mathbf{R}^n given by (1). Let Φ be the Gauss map of \mathbf{S} in the sense of (3, 4). If the mean curvature vector \mathbf{H} is not zero on \mathbf{S} , then the Gauss map Φ must satisfy*

$$\text{Im} \{ (\tilde{\Phi}_z \cdot \tilde{\Phi}_z)^{1/2} (\Phi_z - \eta \Phi) \} = 0, \tag{6}$$

$$\text{Im} \left\{ \left(\frac{\Phi_z \cdot \Phi_{zz}}{\Phi_z \cdot \Phi_z} - \frac{\Phi_z \cdot \tilde{\Phi}}{|\Phi|^2} \right)_z \right\} = 0, \tag{7}$$

where η is defined by

$$\eta = \frac{\Phi_z \cdot \tilde{\Phi}}{|\Phi|^2}. \tag{8}$$

Proof. The formula (4) means

$$\frac{\partial \mathbf{X}}{\partial z} = \psi \Phi \tag{9}$$

for some function $\psi : \mathbf{S}_0 \rightarrow \mathbf{C}$. We note that the surface is regular wherever ψ does not vanish. By (2.17, 2.18) in [1], we know

$$|\Phi|^2 \bar{\psi} \mathbf{H} = \Phi_z - \eta \Phi. \tag{10}$$

By taking the symmetric product of (10), we get

$$|\Phi|^4 \bar{\psi}^2 |\mathbf{H}|^2 = \Phi_z \cdot \Phi_z. \tag{11}$$

From (10) and (11), we obtain

$$(\tilde{\Phi}_z \cdot \tilde{\Phi}_z)^{1/2} (\Phi_z - \eta \Phi) = \pm |\Phi|^4 |\mathbf{H}| |\psi|^2 \mathbf{H}.$$

Thus (6) holds. This concludes the proof of the first part of the theorem.

By (2.19) in [1], we know

$$(\log \psi)_z + \eta = 0.$$

Thus we have

$$(\log \bar{\psi}^2)_z + 2\bar{\eta} = 0. \tag{12}$$

By (11), we know that $\mathbf{H} \neq 0$ is equivalent to $\Phi_z \cdot \Phi_z \neq 0$. From (11, 12), we have

$$\left(\log \frac{\Phi_z \cdot \Phi_z}{|\Phi|^4 |\mathbf{H}|^2} \right)_z + 2\bar{\eta} = 0.$$

Thus we get

$$(\log|\mathbf{H}|^2)_z = (\log(\Phi_z \cdot \Phi_z))_z - (\log|\Phi|^4)_z + 2\bar{\eta}.$$

Using the above formula and noting (8), we obtain

$$(\log|\mathbf{H}|)_z = \frac{\Phi_z \cdot \Phi_{zz}}{\Phi_z \cdot \Phi_z} - \frac{\Phi_z \cdot \bar{\Phi}}{|\Phi|^2}. \tag{13}$$

Since $|\mathbf{H}|$ is real, (7) holds. This completes the proof of the theorem.

Remark 1. We can prove that conditions (2.20, 2.21) in [1] (designated by \mathbf{A}) are equivalent to conditions (6, 7) (designated by \mathbf{B}). In fact, the proof that $\mathbf{B} \Rightarrow \mathbf{A}$ is obvious, and the proof that $\mathbf{A} \Rightarrow \mathbf{B}$ is the proof of Theorem 1.

Remark 2. We will see that Theorem 1 yields somewhat shorter proofs of the results of Hoffman-Osserman for the cases $n=3, 4$ [1, Sect. 3; 2].

When \mathbf{S} is the surface in R^4 , $\Phi(z)$ is determined by (3.4) in [1]. By (3.15) in [1], we obtain

$$\Phi_z \cdot \Phi_z = \mathbf{V} \cdot \mathbf{V} = (F_1\mathbf{A} - F_2\bar{\mathbf{A}}) \cdot (F_1\mathbf{A} - F_2\bar{\mathbf{A}}) = -2F_1F_2|\mathbf{A}|^2, \tag{14}$$

where $\mathbf{V} = \Phi_z - \eta\Phi$, F_i is defined by (3.5) in [1], \mathbf{A} is defined by (3.14) in [1]. By (14), we know that $\mathbf{H} \neq 0$ is equivalent to $F_1F_2 \neq 0$. Using (3.15) in [1] and (14), we find

$$\begin{aligned} (\bar{\Phi}_z \cdot \bar{\Phi}_z)^{1/2}(\Phi_z - \eta\Phi) &= \pm i\sqrt{2}|\mathbf{A}|(\bar{F}_1\bar{F}_2)^{1/2}(F_1\mathbf{A} - F_2\bar{\mathbf{A}}) \\ &= \pm i\sqrt{2}|\mathbf{A}|(|F_1|(F_1\bar{F}_2)^{1/2}\mathbf{A} - |F_2|(\bar{F}_1F_2)^{1/2}\bar{\mathbf{A}}). \end{aligned}$$

Thus we get

$$\mathbf{Im}\{(\bar{\Phi}_z\bar{\Phi}_z)^{1/2}(\Phi_z - \eta\Phi)\} = \pm\sqrt{2}|\mathbf{A}|(|F_1| - |F_2|)\mathbf{Re}\{(F_1\bar{F}_2)^{1/2}\mathbf{A}\}. \tag{15}$$

We will show that

$$\mathbf{Re}\{(F_1\bar{F}_2)^{1/2}\mathbf{A}\} \neq 0. \tag{16}$$

Assume (16) fails at some point $z_0 \in \mathbf{S}_0$. Then

$$\mathbf{Re}\{(F_1(z_0)\bar{F}_2(z_0))^{1/2}\}\mathbf{Re}\{\mathbf{A}(z_0)\} - \mathbf{Im}\{(F_1(z_0)\bar{F}_2(z_0))^{1/2}\}\mathbf{Im}\{\mathbf{A}(z_0)\} = 0,$$

but

$$(F_1(z_0)\bar{F}_2(z_0))^{1/2} \neq 0, \quad (\text{because of } F_1(z_0)F_2(z_0) \neq 0).$$

Thus we have

$$\mathbf{Re}\{\mathbf{A}(z_0)\} = \lambda \mathbf{Im}\{\mathbf{A}(z_0)\} \quad (\text{or } \mathbf{Im}\{\mathbf{A}(z_0)\} = \mu \mathbf{Re}\{\mathbf{A}(z_0)\}).$$

Hence

$$\begin{aligned} \mathbf{A}(z_0) &= \mathbf{Re}\{\mathbf{A}(z_0)\} + i \mathbf{Im}\{\mathbf{A}(z_0)\} = (\lambda + i)\mathbf{Im}\{\mathbf{A}(z_0)\} \\ &(\text{or } \mathbf{A}(z_0) = (1 + i\mu)\mathbf{Re}\{\mathbf{A}(z_0)\}). \end{aligned}$$

By (3.14) in [1], we know that $\mathbf{A}^2 \equiv 0$, thus $\mathbf{Im}\{\mathbf{A}(z_0)\} = \mathbf{Re}\{\mathbf{A}(z_0)\} = 0$. This clearly contradicts (3.16) in [1]. Thus (16) holds. By (15, 16), we know that for a surface in R^4 condition (6) becomes (3.7) in [1].

By (3.5, 3.16) in [1] and (14), we get

$$\Phi_z \cdot \Phi_z = -4(f_1)_z(f_2)_z, \tag{17}$$

which implies that $\mathbf{H} \neq 0$ is equivalent to $(f_1)_z(f_2)_z \neq 0$. Thus we find

$$\begin{aligned} \frac{\Phi_z \cdot \Phi_{zz}}{\Phi_z \cdot \Phi_z} &= \frac{1}{2} (\log(\Phi_z \cdot \Phi_z))_z = \frac{1}{2} \{(\log(f_1)_z)_z + (\log(f_2)_z)_z\} \\ &= \frac{1}{2} \left\{ \frac{(f_1)_{zz}}{(f_1)_z} + \frac{(f_2)_{zz}}{(f_2)_z} \right\}. \end{aligned} \tag{18}$$

Using (3.4, 3.12) in [1], we find

$$\frac{\Phi_z \cdot \bar{\Phi}}{|\Phi|^2} = \frac{\bar{f}_1(f_1)_z}{1+|f_1|^2} + \frac{\bar{f}_2(f_2)_z}{1+|f_2|^2}. \tag{19}$$

By (18, 19), we know that for surfaces in \mathbf{R}^4 condition (7) becomes (3.8) in [1].

When S is a surface in \mathbf{R}^3 , $\Phi(z)$ is determined by (3.6) in [2]. Thus we find

$$\Phi_z \cdot \Phi_z = 4(f_z)^2, \tag{20}$$

and

$$\frac{\Phi_z \cdot \bar{\Phi}}{|\Phi|^2} = \frac{2\bar{f}f_z}{1+|f|^2}. \tag{21}$$

By (3.9) in [2] and (20), we obtain

$$(\bar{\Phi}_z \cdot \bar{\Phi}_z)^{1/2}(\Phi_z - \eta\Phi) = \pm 4|f_z|^2\mathbf{N}. \tag{22}$$

Since \mathbf{N} is a real vector, condition (6) is always satisfied for surfaces in \mathbf{R}^3 . By (20), we know that $\mathbf{H} \neq 0$ is equivalent to $f_z \neq 0$. Thus we have

$$\frac{\Phi_z \cdot \Phi_{zz}}{\Phi_z \cdot \Phi_z} = \frac{1}{2} (\log(\Phi_z \cdot \Phi_z))_z = \frac{f_{zz}}{f_z}. \tag{23}$$

By (21, 23), we know that for surfaces in \mathbf{R}^3 condition (7) becomes

$$\text{Im} \left\{ \left(\frac{f_{zz}}{f_z} - \frac{2\bar{f}f_z}{1+|f|^2} \right)_z \right\} = 0. \tag{24}$$

Remark 3. The Eq. (13) obtained in the proof of Theorem 1 generalizes Kenmotsu's result [3, Theorem 3].

By (18, 19), we know that for surfaces in \mathbf{R}^4 the Eq. (13) becomes

$$(\log|\mathbf{H}|^2)_z = S(f_1) + S(f_2), \tag{25}$$

where

$$S(f_k) = \frac{(f_k)_{zz}}{(f_k)_z} - \frac{2\bar{f}_k(f_k)_z}{1+|f_k|^2}, \quad k=1, 2. \tag{26}$$

By (21, 23), we know that for surfaces in \mathbf{R}^3 the Eq. (13) becomes

$$(\log|\mathbf{H}|)_z = \frac{f_{zz}}{f_z} - \frac{2\bar{f}f_z}{1+|f|^2}. \tag{27}$$

This is Kenmotsu's result [3, Theorem 3].

Theorem 2. Let S_0 be a simply connected Riemann surface, and $G: S_0 \rightarrow Q_{n-2}$ be a map into the complex quadric. Represent G locally by a map Φ into $C^n \setminus \{0\}$ in the sense that $\bar{G} = [\Phi]$. Define η in terms of Φ by (8). If $V = \Phi_z - \eta\Phi$ is not zero on S_0 , then there exists a conformal immersion $X: S_0 \rightarrow R^n$ with Gauss map G if and only if Φ satisfies (6, 7).

Proof. If there exists a conformal immersion $X: S_0 \rightarrow R^n$ with Gauss map G , we know that (6, 7) hold by Theorem 1.

Conversely, if $\Phi(z)$ satisfies (6, 7), first we put

$$T(z) = \frac{\Phi_z \cdot \Phi_{z\bar{z}}}{\Phi_z \cdot \Phi_z} - \frac{\Phi_z \cdot \bar{\Phi}}{|\Phi|^2}, \tag{28}$$

thus we have $\text{Im}\{T_z\} = 0$. Using Lemma 2.1 in [1], we have

$$h = \exp \int \text{Re}\{2T(z)dz\}, \tag{29}$$

where h satisfies

$$(\log h)_z = T(z). \tag{30}$$

Second, we put

$$\psi(z) = \frac{(\bar{\Phi}_z \cdot \bar{\Phi}_z)^{1/2}}{|\Phi|^2 h}. \tag{31}$$

By (28), (30), and (31), we have

$$(\log \bar{\psi})_z = \frac{1}{2} (\log(\bar{\Phi}_z \cdot \bar{\Phi}_z))_z - (\log h)_z - (\log(\Phi \cdot \bar{\Phi}))_z = - \frac{\Phi \cdot \bar{\Phi}_z}{|\Phi|^2}.$$

Thus we get

$$\frac{\psi_z}{\psi} + \eta = 0. \tag{32}$$

Using (31, 32) and condition (6), we obtain

$$\begin{aligned} \text{Im}(\psi\Phi)_z &= \text{Im}(\psi_z\Phi + \psi\Phi_z) = \text{Im}\{\psi(\Phi_z - \eta\Phi)\} \\ &= \frac{1}{|\Phi|^2 h} \text{Im}\{(\bar{\Phi}_z \cdot \bar{\Phi}_z)^{1/2}(\Phi_z - \eta\Phi)\} = 0. \end{aligned}$$

By the proof of Theorem 2.6 in [1], we know that there is a surface $X: S_0 \rightarrow R^n$ such that

$$\frac{\partial X}{\partial z} = \psi(z)\Phi(z). \tag{33}$$

Clearly, $X(S_0)$ is a conformal immersed surface with Gauss map G .

Remark 4. We may write (33) in the form

$$X = \int \text{Re}\{2\psi\Phi dz\}, \tag{34}$$

or

$$\mathbf{X} = \int \operatorname{Re} \left\{ \frac{2(\bar{\Phi}_z \cdot \bar{\Phi}_z)^{1/2} \Phi}{|\Phi|^2 h} dz \right\}, \quad (35)$$

where h is defined by (29). By (11, 31), we know that mean curvature vector \mathbf{H} of $\mathbf{X}(S_0)$ satisfies $|\mathbf{H}| = h$.

By Theorem 2.5 in [1], we know that if $\mathbf{X}: S_0 \rightarrow \mathbf{R}^n$ is a conformal immersed surface with Gauss map \mathbf{G} , and the mean curvature vector \mathbf{H} is not zero on $\mathbf{X}(S_0)$, then $\mathbf{X}(S_0)$ is determined uniquely by \mathbf{G} , up to translation and homothety. And $\mathbf{X}(S_0)$ can be explicitly given in terms of Φ by (35). Furthermore, if h is the scalar mean curvature of $\mathbf{X}(S_0)$, namely, $|\mathbf{H}| = h$, then $\mathbf{X}(S_0)$ can be described explicitly from h and Φ by (35).

Therefore formula (35) generalizes the representation theorem of Kenmotsu [3, Theorem 4] to euclidean n -space.

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